

531 Rec'd PC 13 SEP 2001

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| FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER 4239-60771 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371 | | U.S. APPLICATION NO. (If known, see 37 C.F.R. § 1.5) 09/936702 |
| INTERNATIONAL APPLICATION NO. PCT/US00/06946 | INTERNATIONAL FILING DATE 16 March 2000 | PRIORITY DATE CLAIMED 16 March 1999 |
| TITLE OF INVENTION A NOVEL CHIMERIC PROTEIN FOR PREVENTION AND TREATMENT OF HIV INFECTION | | |
| APPLICANT(S) FOR DESIGNATION Edward A. Berger, Christie M. Del Castillo | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none">1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. § 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. § 371(c)(2))<ol style="list-style-type: none">a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).b. <input type="checkbox"/> has been transmitted by the International Bureau.c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. § 371(c)(2)).7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3))<ol style="list-style-type: none">a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).b. <input type="checkbox"/> have been transmitted by the International Bureau.c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.d. <input checked="" type="checkbox"/> have not been made and will not be made.8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. § 371(c)(4)).10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)). | | |
| Items 11. to 16. below concern document(s) or information included: | | |
| <ol style="list-style-type: none">11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98, PTO1449, copies of references cited (56).12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§ 3.28 and 3.31 and the Recordal fee of \$40.00 is included.13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.14. <input type="checkbox"/> A substitute specification.15. <input type="checkbox"/> A change of power of attorney and/or address letter.16. <input checked="" type="checkbox"/> Sequence Listing:<ol style="list-style-type: none"><input checked="" type="checkbox"/> Paper copy (6 pages)<input checked="" type="checkbox"/> Computer-readable form.<input checked="" type="checkbox"/> Statement in Compliance. | | |



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DATE OF DEPOSIT: September 13, 2001

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| U.S. APPLICATION NO. (if known, see 37 C.F.R. § 1.41) 09/936702 | | INTERNATIONAL APPLICATION NO. PCT/US00/06946 | ATTORNEY'S DOCKET NUMBER 4239-60771 |
| 17. <input checked="" type="checkbox"/> The following fees are submitted: | | | CALCULATIONS (PTO USE ONLY) |
| BASIC NATIONAL FEE (37 C.F.R. §§ 1.492(a)(1)-(5)): | | | |
| Neither International Preliminary Examination fee (37 C.F.R. § 1.482) nor International Search fee (37 C.F.R. § 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... | | | \$1,000.00 |
| International Preliminary Examination fee (37 C.F.R. § 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... | | | \$860.00 |
| International Preliminary Examination fee (37 C.F.R. § 1.482) not paid to USPTO but International Search fee (37 C.F.R. § 1.445(a)(2)) paid to USPTO as an International Searching Authority..... | | | \$710.00 |
| International Preliminary Examination fee paid to USPTO (37 C.F.R. § 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... | | | \$690.00 |
| International Preliminary Examination fee paid to USPTO (37 C.F.R. § 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)..... | | | \$100.00 |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | \$ 860.00 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(e)). | | | \$ |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE |
| Total claims | 55 - 20 = | 35 | x \$18.00 |
| Independent Claims | 3 - 3 = | 0 | x \$80.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$270.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | \$ 1,490.00 |
| <input type="checkbox"/> Reduction of 1/2 for filing by small entity. Small entity status is claimed for this application. | | | \$ |
| SUBTOTAL = | | | \$ 1,490.00 |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 C.F.R. §§ 1.492(f)). | | | \$ |
| TOTAL NATIONAL FEE = | | | \$ 1,490.00 |
| Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property. | | | \$ 40.00 |
| TOTAL FEES ENCLOSED = | | | \$ 1,530.00 |
| | | | REFUND → \$ |
| | | | CHARGE → \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ 1,530.00 to cover the above fees is enclosed. | | | |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | |
| c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. 02-4550. A duplicate copy of this sheet is enclosed. | | | |
| d. <input checked="" type="checkbox"/> Please return the enclosed postcard to confirm that the items listed above have been received. | | | |
| NOTE: Where an appropriate time limit under 37 C.F.R. § 1.494 or § 1.495 has not been met, a petition to revive (37 C.F.R. § 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | |
| SEND ALL CORRESPONDENCE TO: | | | |
| KLARQUIST SPARKMAN, LLP One World Trade Center, Suite 1600 121 S.W. Salmon Street Portland, OR 97204-2988 | | | |
| SIGNATURE Tanya M. Harding, Ph.D. NAME 42,630 REGISTRATION NUMBER | | | |

cc: Docketing